

## Number, animacy, and individual variation in the processing of cataphora

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**Introduction:** Encountering a potentially cataphoric pronoun triggers the comprehender to search actively for a referent with compatible features [1-3]. This study tests how that search is modulated by number and animacy cues, across sentences with cataphoric *they* vs. either *s/he* or *it*. North American English is a notable testing ground: its pronoun system is undergoing change [4-6], with ‘definite singular’ uses of *they* (*dsT*) emerging for animate referents (1). For a reading time study, we recruited participants representing different generations and degrees of familiarity with *dsT*, finding differential RTs depending on grammatical context and comprehender demographics.

**Background:** Cataphora (a.k.a. backwards anaphora) involves a referential dependency where the proform precedes its referent/binder. Cataphoric pronouns are processed actively [3], in a way sensitive to grammatical constraints [2,7] and involving abstract predictions [8]; evidence for this generalization comes mostly from gender mismatch effects (as in (2)). Number mismatch effects (NMEs) have been observed too: for early ’00s British English [1], Dutch [8], and contemporary American English [9], most clearly in *s/he...PL* configurations. For *they...SG* cases, NMEs seem to be less robust, and might be more fleeting [8] or emerge later [9]. For American English, there is evidence that younger and non-cisgender (e.g., transgender, nonbinary) comprehenders experience less of a NME given *they...SG* [9]. This latter finding aligns with offline acceptability of *dsT*, which tends to be rated best by speakers from those social groups [10,11].

**Design:** Building directly on [9] and [12], we designed a study on cataphora using the L-Maze method [13,14]. It comprises two 2x2 subexperiments with 28 itemsets each (plus 40 more fillers). Exp1 had a *{s/he|they}...{HUM.SG|PL}* design, with an intervening VP biasing a high-animacy reading of the pronoun (3); intended to replicate [9], it tests the extent to which individuals actively predict *dsT*. Exp2 had a novel *{it|they}...{INAN.SG|PL}* design, with an inanimate-biasing predicate (4); since *dsT* is restricted to animates, this provides a good baseline for the social-variable manipulation of Exp1. Participants (N=80) have been recruited from a pool of respondents to an earlier large demographic–sociolinguistic survey [12]. Online data collection is ongoing, via Prolific and PCIBex [15]; we aim for N=100, across four social bins (Table 1) crossing older/younger sociolinguistic generation (cf. [16]) and high/low dsT-familiarity score (derived from [12]).

**Results:** First, the linguistic manipulations (Fig1, Fig2, Table2). Echoing [9], singular and plural cataphors are processed asymmetrically. NMEs after *s/he* (Exp1) and *it* (Exp2) are robust and immediate, and in Exp1 they spill over into the next region. But there is little evidence for NMEs after *they* – even given inanimate-biasing verb-cues (as (4)), which should exclude the possibility of *dsT*. Next, the social variables (Table3). Age is a good baseline predictor of RTs, unsurprisingly; main effects of *dsT*-Familiarity could be confounded with age. There are also unexpected three-way interactions involving age. At the *they...SG* spill-over region in Exp1, the NME seems to be more dramatic for younger participants (countering expectations, and contrasting with [9]); given a slow RT baseline in the older generation, perhaps for them the *they*-NME wraps up before the spill-over region. Exploratory analyses will look for correlations with other social variables [12], and with acceptability judgements of *dsT* from a short survey that occurred after the maze task.

**Discussion:** Results show that singular and plural cataphors are not processed alike; whether interpreted animate or inanimate, *they* evokes strikingly weak number-feature expectations. This may be rooted in the formal representation of plural [cf. 17], which has been argued to be underspecified [18]. Insofar as *dsT* is actively anticipated, age and *dsT*-familiarity are not good predictors of that real-time parse here. Yet unresolved is whether there are any contexts in which [19], or individuals for whom, *they* evokes strong expectations for number, PL or SG. Our future socio-psycholinguistic work will address these issues with other real-time methods, like G-Maze or eyetracking, in combination with more direct measures of individuals’ use/acceptability of *dsT*.

- (1) %My cousin<sub>1</sub> got their<sub>1</sub> leg broken. ~ #My table<sub>1</sub> got their<sub>1</sub> leg broken. *dsT: only anim.*
- (2) a. When he<sub>1</sub> smiles, my uncle<sub>1</sub> squints.  
 b. When he<sub>1</sub> smiles, my #aunt<sub>1</sub> squints. *Gender mismatch effect = RT(#aunt) > RT(uncle)*
- (3) **Exp1 (Hum):** cataphor, {s/he vs. they} x human matrix subject, {SG vs. PL}  
*Sample itemset:* When she/they exercise/s at home, the reporter/reporters miss/es the librarian's/s' enthusiastic encouragement.
- (4) **Exp2 (Inan):** cataphor, {it vs. they} x inanimate matrix subject, {SG vs. PL}  
*Sample itemset:* After it/they was/were replanted last spring, the elm/elms protected the petunia/s from harsh sunlight.

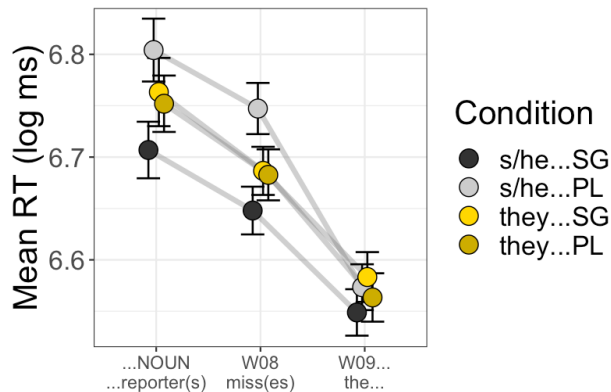


Fig1: Results at critical regions of Exp1 (Hum)

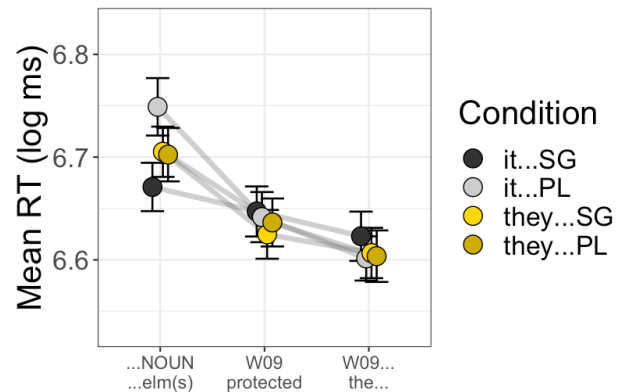


Fig2: Results at critical regions of Exp2 (Inan)

	MORE familiar with dsT	LESS familiar with dsT
OLDER (born before '80)	N=11	N=20
YOUNGER (after '89)	N=24	N=25

Table1: Participant breakdown by sociolinguistic generation and dsT-familiarity (goal: N=25 each)

	Exp1 (Hum)		Exp2 (Inan)	
	Noun	Spill	Noun	Spill
CATAPHOR				
MISMATCH	***	***	***	
CAT:MIS	***	***	*	

Table2: Significance codes for lm's of log RTs (\*\*\*)  $p < 0.001$ , \*\*  $p < 0.01$ , \*  $p < 0.05$ , .  $p < 0.1$ )

	SOCIALVAR = Generation				SOCIALVAR = dsT-Familiarity			
	Exp1 (Hum)		Exp2 (Inan)		Exp1 (Hum)		Exp2 (Inan)	
	Noun	Spill	Noun	Spill	Noun	Spill	Noun	Spill
CATAPHOR								
MISMATCH	***	***	***		***	***	**	
SOCIALVAR	***	***	***	***	*	*		*
CAT:MIS	***	***	**		***	***	*	
CAT:SOC								
MIS:SOC								.
CAT:MIS:SOC		*	*					

Table3: Sig. codes for lm's. CAT = s/he/it vs. they; MIS = s/he/it...SG & they...PL vs. s/he/it...PL & they...SG

**References:** [1] Van Gompel & Liversedge (2003), *Journal of Exp Psych*. [2] Kazanina et al (2007), *JML*. [3] Giskes & Kush (2021), *Mem & Cog*. [4] Bjorkman (2017), *Glossa*. [5] Conrod (2019), U Washington diss. [6] Konnelly & Cowper (2020), *Glossa*. [7] Kush & Dillon (2021), *JML*. [8] Giskes & Kush (2022), *Glossa PsyLx*. [9] Foley & Ahn (submitted), ms. USC/Princeton. [10] Conrod (2022), *J of Lang & Sexuality*. [11] Camilliere et al (2021), *Proc of the Cog Sci Society*. [12] Ahn & Conrod (forthcoming), ms. Princeton/Swarthmore. [13] Freedman & Forster (1985), *Cognition*. [14] Boyce et al (2020), *JML*. [15] Zehr & Schwarz (2018), *PClbex*. [16] Tagliamonte (2023), NWAV talk, Queens College. [17] Patson (2014), *Lang & Ling Compass*. [18] Sauerland (2008), In *Phi Theory*, eds Harbour et al. [19] Moulton et al (2020), *Glossa*.